

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
US 60SI1996-2

In Re Application Of: **Atchara Chaipayawat et al.**

Serial No.
Not Yet Assigned

Filing Date
Herewith

Examiner
Not Yet Assigned

Group Art Unit
Not Yet Assigned

Title: **Transfer Resistant Cosmetic Compositions Comprising Silicone Gels**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
US 60SI1996-2

In Re Application: **Atchara Chaiyawat et al.**

Serial No.
Not Yet Assigned

Filing Date
Herewith

Examiner
Not Yet Assigned

Group Art Unit
Not Yet Assigned

Transfer Resistant Cosmetic Compositions Comprising Silicone Gels

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **07-0888**
as described below.

- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

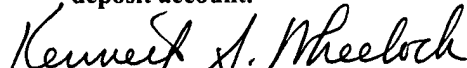
I certify that this document and fee is being deposited on 11/21/03 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Signature of Person Mailing Correspondence

Karen A. Hayden

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.


Signature

✓ Dated: Nov. 21, 2003 ✓

Kenneth S. Wheelock, Reg. No. 36, 340

Attorney for Applicants

General Electric Company

GE Specialty Materials

One Plastics Avenue

Pittsfield, MA 01201

Tel: 413-448-4606

Fax: 413-448-7601

CC:

PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u> (Use several sheets if necessary)										Attorney's Docket Number US 60SI01996-2		Serial Number To be assigned	
										Name of Applicant Chaipayat et al.			
										Filing Date Herewith			
U.S. PATENT DOCUMENTS													
* Examiner Initials	Document Number								Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	4	9	7	0	2	5	2	11/13/90	Sakuta et al.			
	AB	4	9	8	0	1	6	7	12/25/90	Harashima et al.			
	AC	5	2	3	6	9	8	6	8/17/93	Sakuta			
	AD	5	2	6	6	3	2	1	11/30/93	Shukuzaki et al.			
	AE	5	4	1	2	0	0	4	5/2/95	Tachibana et al.			
	AF	5	5	9	9	5	3	3	2/4/97	Stepniewski et al.			
	AG	5	6	5	4	3	6	2	8/5/97	Schulz, Jr. et al.			
	AH	5	7	0	3	0	4	1	12/30/97	Afriat et al.			
	AI	5	8	1	1	4	8	7	9/22/98	Schulz, Jr. et al.			
	AJ	5	8	3	3	9	7	3	11/10/98	Dobkowski et al.			
	AK	5	8	5	4	3	3	6	12/29/98	Divone, Sr. et al.			
	AL	5	8	8	0	2	1	0	3/9/99	Schulz, Jr. et al.			
	AM	5	8	8	9	1	0	8	3/30/99	Zhang			
FOREIGN PATENT DOCUMENTS													
	Document Number								Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
	AN	EP 0 790 055 A1											
	AO	GB 2 129 820 A											
	AP	JP 01190757- abstract											
	AQ	JP01207354 - abstract											
OTHER INFORMATION (Including Author, Title, Date, Pertinent)													
	AR	J.V. Crivello and M. Fan, J. Polymer Sci., Part A: <i>Polymer Chemistry</i> , pp. 1853-1863 (1997)											
	AS	Advances in Organometallic Chemistry, Volume 17, pages 407 through 447, F.G.A. Stone and Robert West editors, published by the Academic Press (New York, 1979)											
EXAMINER										DATE CONSIDERED			
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.													

PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u> (Use several sheets if necessary)										Attorney's Docket Number US 60SI01996-2		Serial Number To be assigned			
										Name of Applicant Chaipaywat et al.					
										Filing Date Herewith				Group To be assigned	
U.S. PATENT DOCUMENTS															
* Examiner Initials	Document Number								Date	Name	Class	Subclass	Filing Date if Appropriate		
	AA	5	9	2	2	3	0	8	7/13/99	Brewster et al.					
	AB	5	9	1	9	4	3	7	7/6/99	Lee et al.					
	AC	5	9	2	2	3	0	9	7/13/99	Brewster					
	AD	5	9	2	8	6	6	0	7/27/99	Kobayashi et al.					
	AE	5	9	6	1	9	6	1	10/5/99	Dobkowski et al.					
	AF	6	0	3	9	9	3	5	3/21/00	Mohammadi					
	AG	6	0	4	2	8	1	5	3/28/00	Kellner et al.					
	AH	6	0	7	4	6	7	2	6/13/00	Dobkowski et al.					
	AI	4	7	2	4	1	4	2	5/3/88	Shimizu et al.					
	AJ	5	4	0	3	5	8	0	4/4/95	Bujanowski et al.					
	AK	5	1	3	8	0	0	9	8/11/92	Inoue					
	AL	5	5	7	1	8	5	3	11/5/96	Ikeno et al.					
	AM	5	5	9	9	8	9	4	2/4/97	Ikeno					
FOREIGN PATENT DOCUMENTS															
	Document Number								Date	COUNTRY	Class	Subclass	TRANSLATION YES NO		
	AN	JP01250305 - abstract													
	AO	JP03197413 - abstract													
	AP	JP02172906 - abstract													
	AQ	JP 61194009 - abstract													
OTHER INFORMATION (Including Author, Title, Date, Pertinent)															
	AR														
	AS														
EXAMINER										DATE CONSIDERED					
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.															

PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT LIST OF ITEMS (Use several sheets if necessary)										Attorney's Docket Number US 60SI01996-2		Serial Number To be assigned			
										Name of Applicant Chaipayawat et al.					
										Filing Date Herewith				Group To be assigned	
U.S. PATENT DOCUMENTS															
* Examiner Initials	Document Number								Date	Name	Class	Subclass	Filing Date if Appropriate		
	AA	5	6	6	5	8	0	4	9/9/97	Hill et al.					
	AB	5	8	4	9	3	1	4	12/15/98	Dobkowski et al.					
	AC	5	8	5	9	0	6	9	1/12/99	Yanagida					
	AD	5	8	7	1	7	2	0	2/16/99	Gutierrez et al.					
	AE	6	0	2	4	9	4	4	2/15/00	Hansenne					
	AF	6	0	2	7	7	3	8	2/22/00	Stepniewski et al.					
	AG	3	1	5	9	6	0	1	12/1/64	Ashby					
	AH	3	1	5	9	6	6	2	12/1/64	Ashby					
	AI	3	2	2	0	9	7	2	11/30/65	Lamoreaux					
	AJ	3	7	1	5	3	3	4	2/6/73	Karstedt					
	AK	3	7	7	5	4	5	2	11/27/73	Karstedt					
	AL	3	8	1	4	7	3	0	6/4/74	Karstedt					
	AM	4	2	7	9	7	1	7	7/21/81	Eckberg et al.					
FOREIGN PATENT DOCUMENTS															
	Document Number								Date	COUNTRY	Class	Subclass	TRANSLATION YES NO		
	AN	WO 97/44010													
	AO	WO 98/00097													
	AP	WO 98/00098													
	AQ	WO 98/00102													
OTHER INFORMATION (Including Author, Title, Date, Pertinent)															
	AR														
	AS														
EXAMINER										DATE CONSIDERED					
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.															

PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u> (Use several sheets if necessary)										Attorney's Docket Number US 60SI01996-2		Serial Number To be assigned	
										Name of Applicant Chaipayat et al.			
										Filing Date Herewith			
U.S. PATENT DOCUMENTS													
* Examiner Initials	Document Number								Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	4	9	8	7	1	6	9	1/22/91	Kuwata et al.			
	AB	5	1	2	8	4	3	1	7/7/92	Riding et al.			
	AC	5	3	5	4	7	9	6	10/11/94	Creedy et al.			
	AD	5	4	9	3	0	4	1	2/20/96	Biggs et al.			
	AE	5	6	6	3	7	5	2	9/2/97	Imamura et al.			
	AF	5	7	6	0	1	1	6	6/2/98	Kilgour et al.			
	AG	5	9	2	9	1	6	4	7/27/99	Zhang			
	AH	5	9	7	7	2	8	0	11/2/99	Kadlec et al.			
	AI	6	0	4	6	1	5	6	4/4/00	Perry			
	AJ	6	0	5	4	5	4	7	4/25/00	Perry et al.			
	AK	6	0	6	0	5	4	6	5/9/00	Powell et al.			
	AL	6	0	7	5	1	1	1	6/13/00	Perry et al.			
	AM	6	0	7	7	9	2	3	6/20/00	Perry et al.			
FOREIGN PATENT DOCUMENTS													
	Document Number								Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
	AN	WO 98/00103											
	AO	WO 98/00105											
	AP	WO 98/04236											
	AQ	WO 98/18438											
OTHER INFORMATION (Including Author, Title, Date, Pertinent)													
	AR												
	AS												
EXAMINER										DATE CONSIDERED			
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.													

PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u> (Use several sheets if necessary)										Attorney's Docket Number US 60SI01996-2		Serial Number To be assigned		
										Name of Applicant Chaipayat et al.				
										Filing Date Herewith			Group To be assigned	
U.S. PATENT DOCUMENTS														
* Examiner Initials	Document Number							Date	Name	Class	Subclass	Filing Date if Appropriate		
	AA	5	7	6	0	1	1	6	06-1998	Kilgour et al.	524	268		
	AB													
	AC													
	AD													
	AE													
	AF													
	AG													
	AH													
	AI													
	AJ													
	AK													
	AL													
	AM													
FOREIGN PATENT DOCUMENTS														
	Document Number							Date	COUNTRY	Class	Subclass	TRANSLATION YES NO		
	AN													
	AO													
	AP													
	AQ													
	AR													
OTHER INFORMATION (Including Author, Title, Date, Pertinent)														
	AR													
	AS													
EXAMINER0										DATE CONSIDERED				
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.														